

## EVALUATION OF THE HUMORAL IMMUNE RESPONSE TO PRIMARY AND BOOSTER COVID-19 VACCINATION

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**Highlights:** (1). Evaluation of humoral immune response to homologous and heterologous COVID-19 vaccination schemes. (2). Significant increase in anti-SARS-CoV-2 IgG after the primary vaccination scheme and booster dose. (3). Decline in antibody levels after homologous vaccination schemes, reinforcing the need for a heterologous booster dose.

PRE-PROOF

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**ABSTRACT**

This study aimed to evaluate the efficacy of the primary and booster vaccination response against COVID-19. This prospective cohort study was conducted in the Archipelago of Fernando de Noronha (Pernambuco, Brazil). A total of 350 adults ( $\geq 18$  years), with and without previous SARS-CoV-2 infection, were included. Data collection occurred between May 2020 and May 2022. Serum levels of anti-SARS-CoV-2 IgG were evaluated before vaccination (T0), after the primary vaccination schedule with homologous vaccines (CoronaVac or ChAdOx1 – AstraZeneca/Oxford-Fiocruz), and after the heterologous booster dose with BNT162b2 (Pfizer-BioNTech). Detection of IgG antibodies was performed using a chemiluminescent immunoassay. Data were analyzed using GraphPad Prism 9.0 and SPSS 18.0 software, applying the Mann-Whitney and Sidak tests for longitudinal comparisons ( $p < 0.05$ ). Participants were divided into two groups according to previous SARS-CoV-2 infection: infected (G1;  $n = 81$ ) and not infected (G2;  $n = 269$ ). In both groups, anti-SARS-CoV-2 IgG levels significantly increased after the primary vaccination scheme compared with pre-vaccination levels ( $p < 0.05$ ). In G1, anti-SARS-CoV-2 IgG levels reduced after an interval between 14 and 30 days. After the booster dose with BNT162b2, anti-SARS-CoV-2 IgG levels increased and kept high for 233 days after vaccination. The decline in antibody levels observed after the primary vaccination with two homologous doses reinforces the need for a heterologous booster dose to maintain the humoral immune response.

**Keywords:** COVID-19; SARS-CoV-2; antibodies; Immunoglobulin G; COVID-19 Vaccines.

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AVALIAÇÃO DA RESPOSTA IMUNE HUMORAL DE ESQUEMA PRIMÁRIO  
E DOSE DE REFORÇO VACINAL CONTRA COVID-19

**RESUMO**

O estudo objetivou avaliar a eficácia da resposta vacinal primária e dose de reforço contra COVID-19. Trata-se de um estudo de coorte prospectiva realizado no Arquipélago de Fernando de Noronha (Pernambuco, Brasil). O estudo incluiu 350 adultos ( $\geq 18$  anos), com e sem infecção prévia pelo SARS-CoV-2. As coletas foram realizadas entre maio de 2020 e maio de 2022. Foram avaliados os níveis séricos de IgG anti-SARS-CoV-2 antes da vacinação (T0), após o esquema primário com vacinas homólogas (CoronaVac ou ChAdOx1 – AstraZeneca/Oxford-Fiocruz) e após a dose de reforço heteróloga com BNT162b2 (Pfizer-BioNTech). A detecção dos anticorpos IgG foi realizada por imunoensaio de quimioluminescência. Os dados foram analisados nos programas GraphPad Prism 9.0 e SPSS 18.0, aplicando-se os testes de Mann-Whitney e Sidak para comparações longitudinais ( $p < 0,05$ ). Os participantes foram divididos em dois grupos conforme a infecção prévia pelo SARS-CoV-2: infectados (G1;  $n = 81$ ) e não infectados (G2;  $n = 269$ ). Em ambos os grupos, os níveis de IgG anti-SARS-CoV-2 aumentaram significativamente após o esquema primário de vacinação em comparação com os níveis pré-vacinação ( $p < 0,05$ ). No G1, observou-se redução dos níveis de IgG anti-SARS-CoV-2 entre 14 e 30 dias. Após a dose de reforço com BNT162b2 (Pfizer-BioNTech), os níveis de IgG anti-SARS-CoV-2 voltaram a aumentar e permaneceram elevados por até 233 dias após a vacinação. A queda dos níveis de anticorpos observada após o esquema primário de vacinação com duas doses de vacina homóloga, reforça a necessidade da dose de reforço com vacina heteróloga.

**Palavras-chave:** COVID-19; SARS-CoV-2; anticorpos; Imunoglobulina G; Vacinas contra COVID-19.

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### 1. Introduction

The severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection appeared in China and spread rapidly worldwide, becoming a public health emergency of international concern<sup>1,2</sup>. Brazil registered the first case at the end of February 2020 and by August, 2022, the country presented more than 34 million confirmed cases and more than 680,000 deaths<sup>3</sup>.

Researchers from several countries sought epidemiological control of SARS-CoV-2. They conducted a technological acceleration in record time to develop vaccines against Coronavirus Disease 2019 (COVID-19)<sup>4</sup>. In Brazil, vaccination began in the second half of January 2021<sup>3</sup>.

Immunogenicity against SARS-CoV-2 is provided after infection or vaccination. In COVID-19, immunity is induced after antibody production against the spike and nucleocapsid protein subunits 1 (S1) and 2 (S2)<sup>5</sup>. Neutralizing antibodies target the receptor binding domain (RBD) in the S1, producing IgM, IgG, and IgA<sup>6</sup>. Vaccines assure immunogenicity by producing specific IgG antibodies against the spike protein. Higher antibody levels provide greater protection, especially against the severe forms of the disease<sup>7,8</sup>.

In general, more than one dose of homologous vaccine is needed for the long-term production of immunogenicity. The first dose prepares the immune system, and the second increases the effectiveness of the immune response<sup>9</sup>. The heterologous booster strategy (i.e., using different vaccines in the booster dose) increases the effectiveness and duration of immunity compared with the homologous scheme, especially with the emergence of new SARS-CoV-2 variants<sup>10,11</sup>. Although the vaccines approved and in use in Brazil promote humoral and cellular response to SARS-CoV-2 infection, the medium and long-term seroprevalence after vaccination is still being determined, especially with the vaccine scheme and platforms used in Brazil.

Several studies at the international and national levels have evaluated the use of COVID-19 vaccines, particularly the impact of a booster (third) dose on sustaining protective immunity<sup>11-18</sup>. Research conducted in different populations has shown that a booster dose with BNT162b2 (Pfizer-BioNTech) significantly increases humoral and cellular responses, including higher anti-spike IgG titers and enhanced T-cell activity,

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even in vulnerable groups such as older adults, immunocompromised individuals and people living with HIV<sup>12-15</sup>. Studies in Brazil and in collaborative analyses including Brazilian data have also demonstrated that heterologous booster strategies, such as administering an mRNA vaccine after a primary series with CoronaVac or ChAdOx1 (Astrazeneca/Oxford-Fiocruz), are both immunogenic and effective in recovering antibody levels that decline after the two-dose primary scheme and in maintaining protection against severe forms of COVID-19<sup>17,18</sup>. These findings support the use of booster doses as a public health strategy and highlight the need to monitor the durability of the humoral response after primary vaccination and after the first booster in real-world settings.

Evidence on the variability of humoral immunity after COVID-19 vaccination remains limited, as immune responses may differ according to vaccine platform, prior infection, and population characteristics. In Brazil, where CoronaVac and ChAdOx1 vaccines were predominantly used in the primary scheme, data on the duration and magnitude of antibody responses are still scarce, particularly in population-based cohorts. Producing local evidence is key to understanding how these regimens perform in real-world settings and to informing national and global immunization strategies. The findings of this research are expected to advance knowledge on vaccine-induced immunity and support public health decision-making aimed at sustaining population protection against severe COVID-19.

Therefore, this study aimed to evaluate the efficacy of the primary and booster vaccination response against COVID-19

## 2. Methods

### 2.1. Study design and setting

This analysis is nested within a population-based prospective cohort study conducted in the Fernando de Noronha Archipelago (Pernambuco, Brazil) a geographically isolated oceanic territory with limited health infrastructure and high dependence on tourism. The cohort entitled "Incidence and prevalence of COVID-19 in the Fernando de Noronha Archipelago" was developed by the Pernambuco State Health Department with subsidy from the Pan American Health Organization. Data collection

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occurred in repeated waves from May 22, 2020, to May 31, 2022. The study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) recommendations for cohort studies.

The archipelago presented an estimated permanent population of 3,061 inhabitants and a demographic density of 154.55 inhabitants per km<sup>2</sup> in 2019<sup>19</sup>. The local health system is organized under the Family Health Strategy model, with primary care coverage essentially for the entire island. This setting allowed active community-based recruitment; repeated clinical, epidemiological, and laboratory assessments; and longitudinal monitoring of vaccination and humoral immune response in a real-world scenario.

For the parent cohort, the sampling frame was constructed using the Health Information System for Primary Care registries, conducted by the Archipelago Family Health Strategy team, and social assistance registries created to support families during the pandemic. A simple random sample of residents was drawn from these registries. The target sample size was defined to estimate the prevalence of SARS-CoV-2 infection in the island's population with adequate precision. We considered an expected prevalence of approximately 5%, a 95% confidence level, estimated error of 2%, and a finite population of about 3,061 residents. Under these assumptions, the minimum required sample size was approximately 750 individuals. To compensate for potential non-response and anticipated attrition in follow-up waves, we oversampled and enrolled 904 residents in the first wave.

### 2.2 Study population, eligibility criteria, and follow-up

The original cohort was composed of 904 residents enrolled in the first phase. All individuals were invited to participate in subsequent phases of data collection. Follow-up occurred in repeated waves, and not all initially enrolled participants were present at all phases due to temporary or permanent departure from the archipelago for work or family reasons, unavailability for in-person blood collection or interview within the scheduled fieldwork window, or refusal to continue. Some participants who missed an intermediate wave were recontacted and re-entered the study in later collections. Across the first five major waves of data collection, the number of assessed participants was 904 (phase 1 – May 2020), 815 (phase 2 -July 2020 ), 614 (phase 3 – septembrer 2020), 593 (phase 4 –

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December 2020), 571 (phase 5 – May 2021), 375 (phase 6 – July 2021), 288 (phase 7 – September 2021) and 184 (phase 8 – September -2022).

Eligibility in the parent cohort required: (i) listing as a permanent resident of Fernando de Noronha in the Family Health Strategy registry or in the emergency social assistance registry used for pandemic response; (ii) physical presence on the island at the time of fieldwork for that phase or availability for blood sampling and interview in the predefined collection window. No exclusions were made on the basis of sex, pregnancy status, presence of symptoms suggestive of COVID-19 at the time of recruitment, or pre-existing chronic conditions. Visitors, tourists, temporary workers not classified as residents, and residents who declined participation in that collection window were not included in that phase.

The present analysis focuses on the humoral immune response to COVID-19 vaccination and is therefore restricted to adult participants ( $\geq 18$  years) with complete serological information at predefined post-vaccination time points. For this immunogenicity analysis, inclusion criteria were: residence in the Fernando de Noronha Archipelago; availability of paired serum samples at the relevant time points; completion of a homologous primary vaccination scheme with two doses of CoronaVac or ChAdOx1 (AstraZeneca/Oxford–Fiocruz); and receipt of a heterologous booster dose with BNT162b2 (Pfizer–BioNTech). Individuals were excluded if they did not participate in all serological assessments of interest; if they received any vaccine dose less than 14 days before blood collection; or if essential demographic, vaccination, or serological data were missing. Both males and females were eligible. Pregnant women, individuals with suspected or confirmed COVID-19 at recruitment, and individuals with chronic comorbidities were not excluded a priori. After applying these criteria, 350 adults composed the analytic sample for the present study, considering differences in vaccination timing and availability of paired serum samples across data-collection phases.

Blood collection for anti-SARS-CoV-2 IgG measurement was performed at predefined intervals that corresponded to key milestones of the local COVID-19 vaccination campaign. We defined the pre-vaccination baseline as T0. After completion of the homologous primary vaccination scheme (two doses of CoronaVac or ChAdOx1), post-vaccination samples were grouped by the interval between the second dose and blood draw: 14–30 days, 31–45 days, 46–60 days, and 61–125 days. Following

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administration of the heterologous booster dose with BNT162b2, antibody levels were assessed in additional windows: 84–115 days, 116–147 days, 148–177 days, and 178–233 days after the second dose. These windows were defined a priori based on three elements: (i) operational feasibility of repeated community-based collections in a geographically isolated island; (ii) alignment with the municipal/state vaccination rollout, which began on January 19, 2021, prioritizing adult residents; and (iii) previously described kinetics of post-vaccination IgG responses.

### 2.3. Vaccination and laboratory procedures

From the beginning of the COVID-19 vaccination campaign in Brazil, vaccine allocation in Fernando de Noronha followed national guidelines and Ministry of Health availability under the National Plan for the Operationalization of Vaccination against COVID-19<sup>20</sup>. All included individuals received a homologous two-dose primary vaccination scheme with either CoronaVac or ChAdOx1 and, subsequently, a heterologous booster dose with BNT162b2 (Pfizer–BioNTech), in accordance with public health recommendations in force at the time.

Venous blood was collected in tubes without anticoagulant for assessment of humoral response, with all samples collected in person at the primary health care units. Samples were centrifuged at 3,000 rpm for 5 minutes in a refrigerated centrifuge to obtain serum. Serum aliquots were then used to measure anti–SARS-CoV-2 IgG levels before and after vaccination using the SARS-CoV-2 IgG II Quant kit (Code 6S60B; ARCHITECT i System/Abbott), a chemiluminescent microparticle immunoassay (CMIA).

The SARS-CoV-2 IgG II Quant assay is designed to detect IgG antibodies, including neutralizing antibodies directed to the receptor-binding domain (RBD) of the S1 subunit of the spike protein. Results for anti-spike/RBD IgG were expressed in arbitrary units per mL (AU/mL). According to the manufacturer, values  $\geq 50.0$  AU/mL were interpreted as reactive. The analytical range of the assay was 7 to 40,000 AU/mL<sup>21</sup>.

### 2.4 Sociodemographic and clinical data

Along with biological sampling, participants answered a structured questionnaire administered by trained field staff at each data-collection wave. This instrument collected: (i) sociodemographic information (age, sex, self-reported race/color, marital status, educational level, and socioeconomic status according to the Brazilian Economic

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Classification Criteria); (ii) clinical and epidemiological history (previous SARS-CoV-2 infection confirmed by laboratory tests, presence of COVID-19-compatible symptoms, comorbidities, health service use, and self-reported isolation practices); and (iii) vaccination-related information during the immunization period (vaccine product received and date of each dose).

These variables were used to describe the study population and to stratify analyses according to previous SARS-CoV-2 infection. For this purpose, participants were classified at baseline (T0) into two groups: previously infected (G1) and not previously infected (G2), based on documented infection by SARS-CoV-2 or detection of anti-SARS-CoV-2 antibodies prior to vaccination. Given limitations in real-time internet connectivity on the island, questionnaires were initially completed on paper and subsequently entered into a secure electronic database for analysis.

### 2.5 Statistical Analysis

Data were analyzed using GraphPad Prism (version 9.0) and Statistical Package for the Social Sciences - SPSS (version 18.0). Categorical variables were expressed as absolute and relative numbers, while continuous variables were presented as median with interquartile range (IQ). The Shapiro-Wilk test verified data normality. The Mann-Whitney test compared non-parametric variables. Sidak's multiple comparisons test with adjusted P value was used for longitudinal analysis between pre-vaccinal (T0) and post-vaccinal in days. Statistical significance was set at  $p < 0.05$ .

### 2.6. Ethics

The study was approved by the human research ethics committee of Instituto de Medicina Integral Prof. Fernando Figueira (IMIP) (number 31291620.8.0000.5201) and followed resolution 466/2012 of the National Health Council and Declaration of Helsinki. All participants signed an informed consent form.

## 3. Results

A total of 350 individuals were included. They were divided according to SARS-CoV-2 infection before vaccination: not infected (G1;  $n = 269$ ) and infected (G2;  $n = 81$ ) and. Table 1 shows the individuals divided by age group, sex, previous infection by SARS-CoV-2, and primary vaccination scheme. About 23% and 21% of individuals were

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previously infected by SARS-CoV-2 before the first dose of the ChAdOx1 and CoronaVac, respectively.

**Table 1.** Demographic and clinical data of the individuals. Fernando de Noronha - PE, Brazil. 2021.

Variables	CoronaVac n = 93 (26.6%)		ChAdOx1 n = 257 (73.4%)		Total n = 350	
	n	%	n	%	n	%
<b>Age</b>						
<i>18 to 59 years old</i>	80	86.0	218	84.8	298	85.1
<i>≥ 60 years old</i>	13	14.0	39	15.2	52	14.9
<b>Sex</b>						
<i>Women</i>	64	68.8	140	54.5	204	58.3
<i>Men</i>	29	31.2	117	45.5	146	41.7
<b>Previous infection by SARS-CoV-2*</b>						
<i>No (G1)</i>	73	78.5	196	76.3	269	76.9
<i>Yes (G2)</i>	20	21.5	61	23.7	81	23.1

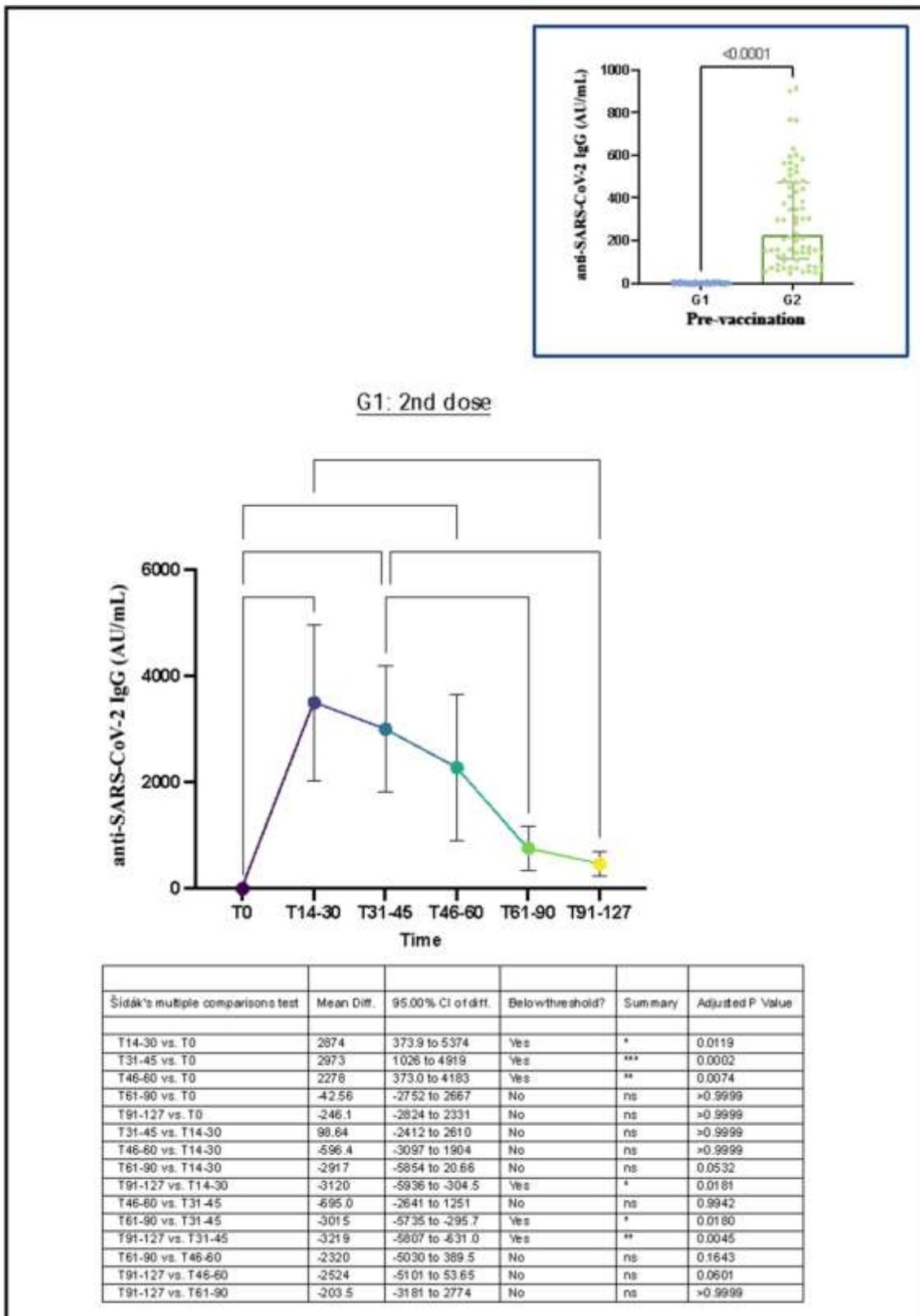
\*Confirmed by serological detection of anti-SARS-CoV-2 IgG or IgM.

Source: elaborated by the authors.

Anti-SARS-CoV-2 IgG levels were higher in G2 than in G1 at T0 ( $p < 0.0001$ ) (Fig. 1 and 2). The anti-SARS-CoV-2 IgG levels were quantified in T0 and after the second dose (T2nd) of CoronaVac or ChAdOx1 evaluated by intervals (days) between the application and blood collection in G1 (Fig. 1) and G2 (Fig. 2). In G1, antibody levels increased between T0 vs. T14-30 and T46-60 days. Moreover, anti-SARS-CoV-2 IgG levels were significantly different from T14-30 onwards (Fig. 1). In the G2, anti-SARS-CoV-2 IgG levels increased from T0 onwards. From T14-30, anti-SARS-CoV-2 IgG levels were reduced over time but were not significant (Fig. 2).

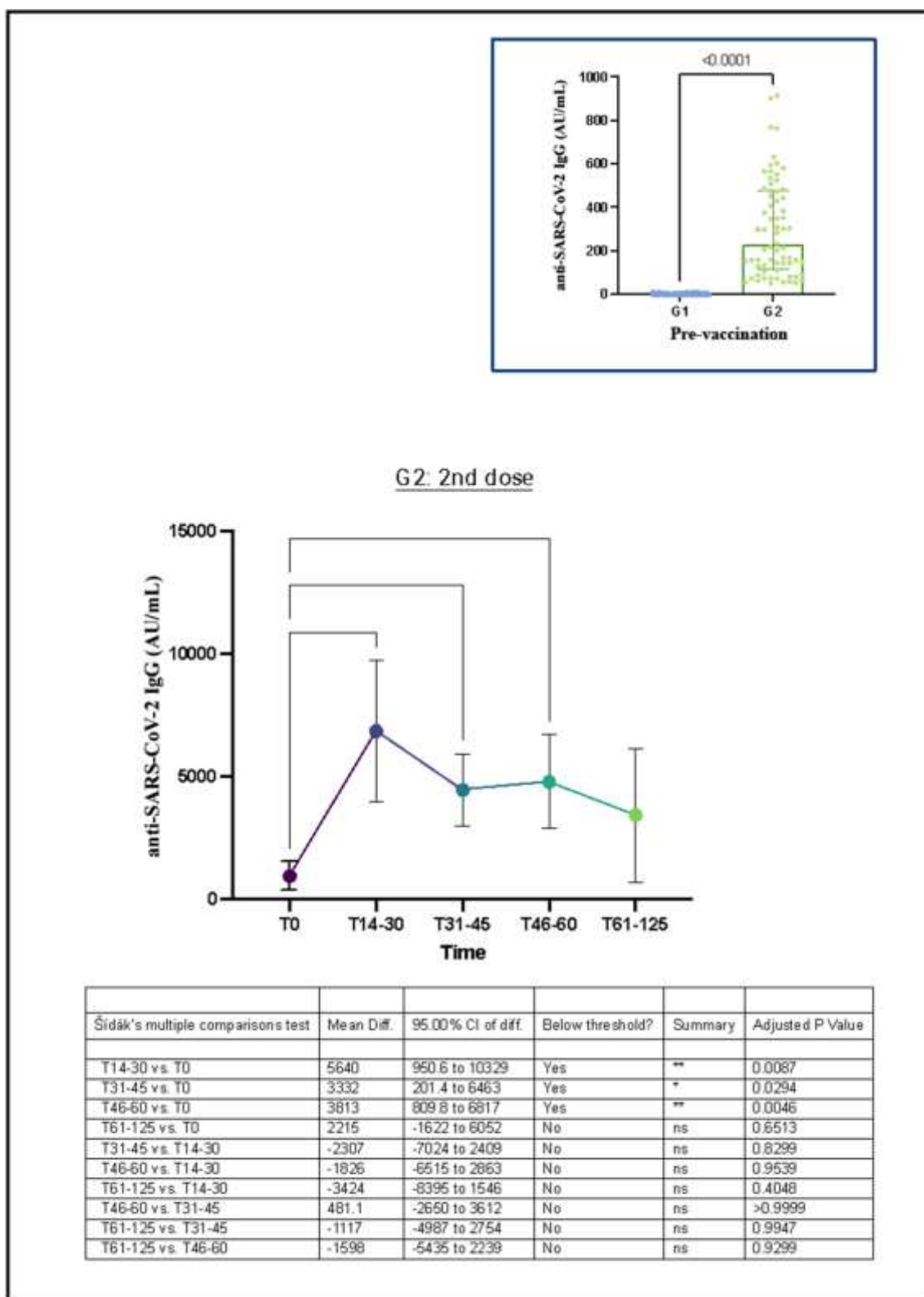
The anti-SARS-CoV-2 IgG levels between the second dose and booster dose with a 30-day interval (from 83 to 233 days) are presented in Fig. 3. After the booster dose, anti-SARS-CoV-2 IgG levels increased. Moreover, anti-SARS-CoV-2 IgG levels did not significantly reduce the second and booster dose (Fig. 3).

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**Figure 1.** Anti-SARS-CoV-2 IgG levels between the pre-vaccination phase (T0) and after the second dose of the CoronaVac or ChAdOx1 (AstraZeneca) in individuals not previously infected by SARS-CoV-2 (G1) before vaccination. They were grouped by time intervals (days) after the second dose. Source: elaborated by the authors.

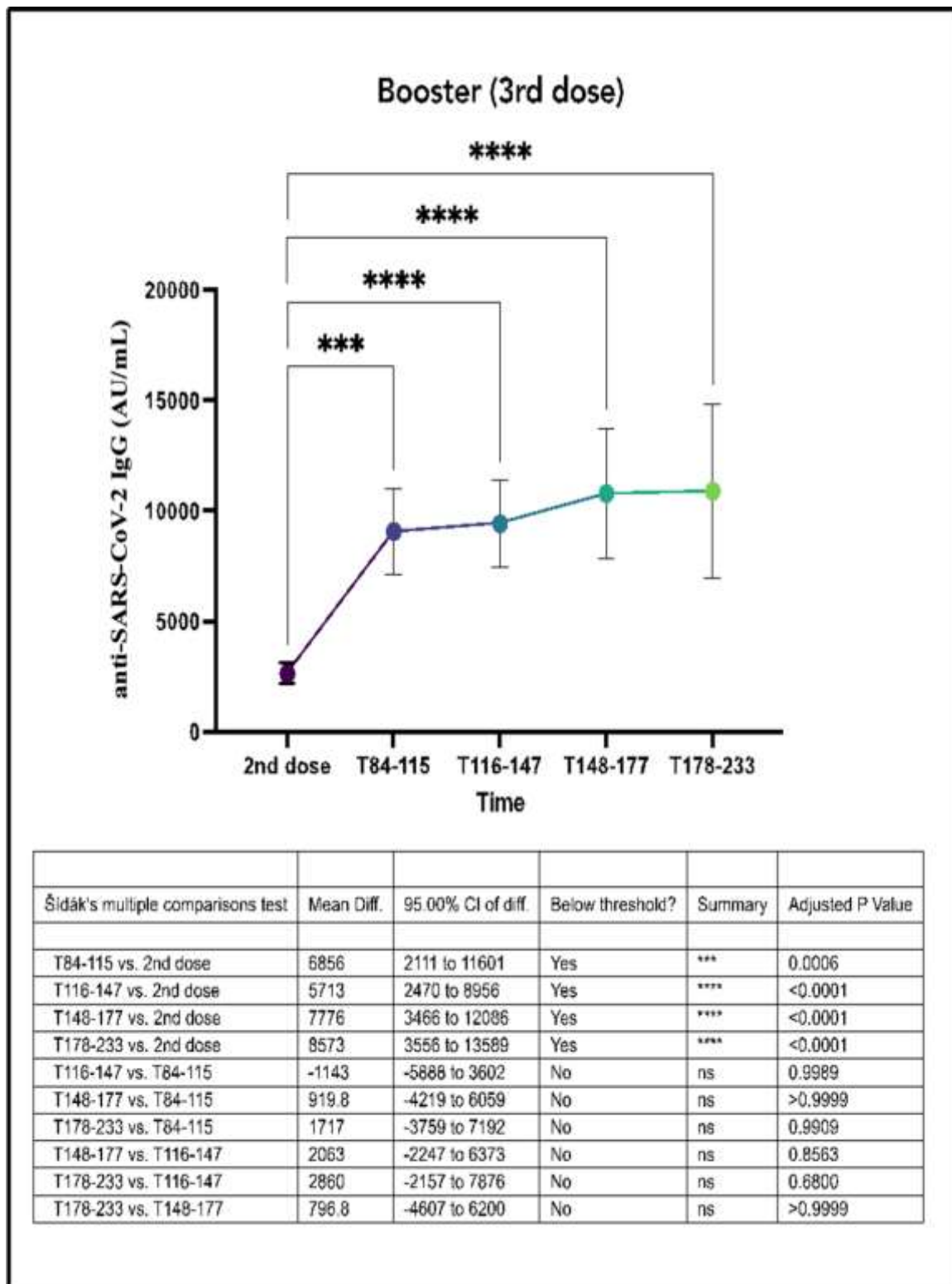
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**Figure 2.** Anti-SARS-CoV-2 IgG antibody levels between the pre-vaccination phase (T0) and after the second dose of CoronaVac or ChAdOx1 (AstraZeneca) in individuals previously infected by SARS-CoV-2 (G2) confirmed by serological quantification of IgG/IgM anti-SARS-CoV-2 in T0. They were grouped by time intervals (days) after the second dose.

Source: elaborated by the authors.

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**Figure 3.** Anti-SARS-CoV-2 IgG antibody levels in individuals with the primary vaccination scheme with CoronaVac or ChAdOx1(AstraZeneca). They were grouped by time intervals (days after the booster dose with BNT162b2 (Pfizer-BioNTech).

Source: elaborated by the authors.

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### 4. Discussion

The present study found that the vaccines used in Brazil produce immunogenicity by inducing the humoral response; however, antibody levels were reduced after the second dose in both groups (G1 and G2). Also, the booster dose with a heterologous vaccine increased the immunogenicity in both groups, corroborating Munro et al. results, which concluded that all vaccines increased antibody responses after booster dose without safety concerns<sup>12,13</sup>.

G2 presented more anti-SARS-CoV-2 IgG than the G1 at T0. Also, the anti-SARS-CoV-2 IgG levels in the G2 were significantly higher in the T14-30. Then, they reduced but maintained acceptable levels for 125 days; however, the same did not happen with G1. Lo Sasso et al. observed changes in antibody levels over the days after vaccination, and Yalçın et al. and Mak et al. detected higher antibody levels and a more robust humoral response in individuals previously infected by SARS-CoV-2, corroborating our findings<sup>22-24</sup>.

The antibody levels of G2 did not significantly reduce over time, suggesting that these levels remained stable after four months of the second dose compared with the previous two months. This finding must be interpreted cautiously since many variables and aspects still need further research through a phase four study. Therefore, applying a booster dose (heterologous vaccine) after four months of the primary vaccination scheme was an adequate strategy in Brazil.

The antibody levels after the booster dose (BNT162b2) doubled the values (10000 AU/mL) of seroconversion compared with the primary vaccination scheme, corroborating Lustig et al. and Franzese et al. results. They stated that the BNT162b2 vaccine induced a rapid, robust, and lasting response<sup>14,15</sup>.

The present study observed that the antibody levels among individuals who received the ChAdOx1 were significantly higher than the individuals who received CoronaVac, suggesting that individuals who received CoronaVac need a booster dose with a heterologous vaccine (Data not shown). Voysey et al. observed that individuals vaccinated with ChAdOx1 presented higher immunogenicity<sup>16</sup>.

Additionally, a Brazilian study identified that patients who received homologous doses of CoronaVac presented low antibody levels before administration of the booster

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dose<sup>14</sup>. The IgG antibody levels increased from baseline to day 28 after the booster dose: 77 (95%CI: 67 to 88) for Ad26.COVS-2, 152 (95%CI: 134 to 173) for BNT162b2, 90 (95%CI: 77 to 104) for ChAdOx1, and 12 (95%CI: 11 to 14) for CoronaVac. This study also showed that a booster dose of the four vaccines tested increased antibody levels after two doses of CoronaVac; the booster dose was applied six months after the second dose. Moreover, individuals vaccinated with CoronaVac (two doses) presented very low antibody levels after six months<sup>17</sup>.

Clear data on the duration of immunity and the level of protection of booster doses are scarce. Furthermore, the vaccine amount needed to ensure adequate antibody levels is unclear. Previous studies did not assess the long-term prevalence of antibodies against SARS-CoV-2 in the vaccinated population<sup>25</sup>; this information was partially evaluated in the present study. However, Hayashi et al. showed that the reduced immunity after 75 days or more after the vaccination scheme with homologous doses of CoronaVac or ChAdOx1 (AstraZeneca) could be recovered by a booster dose of BNT162b2 (Pfizer-BioNTech)<sup>18</sup>.

Despite the several studies with different vaccines, most are related to humoral immunity, and few are about the cellular response, limiting the conclusions regarding the sufficiency of a single dose in previously infected individuals and the need for a booster dose<sup>26,27</sup>. Future studies should identify the immunological marker most closely associated with the effectiveness of the vaccine<sup>26</sup>, detailing the cellular response of T and B lymphocytes<sup>28</sup>.

The data of sex (52.3% women) and age (mean  $44.70 \pm 11.64$  years) of the individuals of this study were similar to the 3,381 individuals of the cohort<sup>29</sup>; however, the number of individuals evaluated was above that described by IBGE, which informed a population of 3,061 individuals in 2019<sup>30</sup>. Also, the tourist population is almost twice the resident population<sup>29</sup>, why may have influenced the change in the total number. Still, this traffic was partially controlled between March and October 2020, when entry and exit from the archipelago were restricted due to the lockdown.

Vaccines that promote immunogenicity are essential for preventing morbidity and mortality caused by SARS-CoV-2 infection<sup>31</sup>. In the present study, we analyzed the IgG anti-SARS-CoV-2 levels in adults before and after a homologous vaccination scheme (CoronaVac or ChAdOx1) and after the booster dose with a heterologous vaccine

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(BNT162b3). Data on antibody levels after a complete vaccination scheme using different vaccines are scarce<sup>32</sup>. Additionally, understanding vaccine-induced and virus-induced immunogenicity will help adjust dosage strategies and accelerate vaccination efforts<sup>33</sup>, an important aspect in Brazil.

Among the limitations of this study are: (i) the absence of cellular immunity assessment; and (ii) the low prevalence of chronic comorbidities such as hypertension and diabetes, which prevented stratified analyses of the humoral immune response among individuals presenting these conditions.

### 5. Conclusions

The findings of this study showed that antibody levels increased after the primary vaccination with both CoronaVac and ChAdOx1 but declined shortly afterward, especially among non-infected individuals. Previously infected participants presented higher baseline antibody levels and a more sustained humoral response over time. After the booster dose with a heterologous BNT162b2 vaccine, antibody levels significantly increased, reinforcing the benefit of heterologous boosting for enhancing and maintaining immune protection.

The results highlight the importance of continuous monitoring of humoral immunity to guide vaccination strategies and support decisions on booster timing and combinations.

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